

# FACTS

## WHAT DOES YOUR SOURCE FINANCIAL DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>■ Social Security number and financial account numbers</li> <li>■ Account balances and transaction information</li> <li>■ Income and employment information</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
<b>How?</b>	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons that Your Source Financial chooses to share and whether you can limit this sharing.

Reasons we can share your personal information	Does Your Source Financial share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes – as required or permitted by law	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	N/A
<b>For joint marketing with other financial companies</b>	No	N/A
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	N/A - no affiliates	N/A
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	N/A – no affiliates	N/A
<b>For nonaffiliates to market to you</b>	No	N/A

<b>Questions?</b>	Call our office at (602) 343-1700 or email us at <a href="mailto:gcollett@ysfi.com">gcollett@ysfi.com</a>
-------------------	---



1747 E MORTEN AVENUE STE 305  
PHOENIX, ARIZONA 85020

Rev. 03/2021

## Who we are

Who is providing this notice?

Your Source Financial

## What we do

How does Your Source Financial protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Your Source Financial collect my personal information?

Typically, we collect your personal information when you:

- Enter into an investment advisory contract
- Seek advice about your investments
- Make deposits or withdrawals from your accounts
- Tell us about your investment or retirement goals
- Tell us about your investment or retirement portfolio

Why can't I limit all sharing?

Federal law gives you the right to limit only:

1. Sharing for affiliates' everyday business purposes.
2. Affiliates from using your information to market to you.
3. Sharing for nonaffiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Your Source Financial has no affiliates.

**Non-affiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Your Source Financial does not share with non-affiliates so they can market to you.

**Joint marketing**

A formal agreement between non-affiliated financial companies that together market financial products or services to you.

- Your Source Financial does not jointly market.

## Other important information

If you would like Your Source Financial to provide information to otherwise unauthorized parties, you must first provide written authorization for us to release information. Please contact our office at (602) 343-1700 to request an Authorization to Release Account Information form.